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Electromagnetic study of the quality factor of pillar microcavities in the small diameter limit

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A thorough electromagnetic study of pillar microcavities reveals a surprising behavior for their Q factors in the strong confinement limit. Q displays a fast oscillatory variation as a function of the pillar diameter, and reaches values well in excess of the quality factor of the reference planar cavity. This behavior is explained by the hybrid character of the cavity mode in the small diameter limit, which results mainly from the electromagnetic coupling of the HE_{11} (i.e., fundamental) and EH_{11} guided modes of the cavity spacer through the associated Bloch modes in the Bragg mirrors.

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Pillar microcavities have played a major role in the development of several optoelectronic devices, including vertical cavity surface-emitting lasers.¹ Micropillars are also well suited to the realization of cavity quantum electrodynamic (CQED) experiments in the solid state, since they combine a small cavity volume V and relatively high-quality factor Q . Clear demonstrations of the Purcell effect (spontaneous emission rate enhancement) have been obtained by incorporating InAs self-assembled quantum dots in pillar microcavities.^{2–4} The Purcell effect is the key for the efficient operation of the first single-mode solid-state single photon source, which implements a single quantum dot in a micropillar.^{3,4} In the small-diameter limit, other CQED effects such as the strong coupling regime or lasing for a single quantum dot might eventually be observed in the future, provided Q remains large enough (i.e., $Q \gg 1000$ typically).⁵ Experimentally, a strong decrease of Q has been observed for submicron micropillars, even in the well studied GaAs/AlAs system; this behavior is commonly attributed to the detrimental effect of the scattering induced by the roughness of the etched sidewalls of the micropillars.⁶ In order to estimate the ultimate potential of micropillars for CQED and related applications, it therefore appears essential to study the *intrinsic* dependence of Q versus the pillar diameter d . A good knowledge of the intrinsic cavity Q is also important when one analyzes the performance of devices (such as lasers or single photon sources)⁷ in the presence of additional extrinsic losses.

Apart from Ref. 5 where micropillars are analyzed using finite-difference time-domain techniques for three pillar diameters ($d=0.3, 0.4,$ and $0.5 \mu\text{m}$), previous studies rely on approximate methods, which assume that the field distribution can be written in a separable form as a function of the transverse and longitudinal coordinates. Such an approximation is not legitimate for small d s, and do not permit to study Q . In this letter, we present the results of an electromagnetic

modelization of the Q of pillar microcavities in the small-diameter limit, which reveals amazing phenomena. Q displays indeed a fast oscillatory variation as a function of the pillar diameter, and reaches values well in excess of the quality factor of the reference planar cavity. A simple approximate model is introduced to interpret the physical origin of this unexpected behavior.

Our calculation have been performed for the GaAs/AlAs system, which is both well mastered technologically and important for practical applications. In pillar microcavities, the photon confinement results from the combination of a waveguiding effect in the transverse direction and of a reflection by Bragg mirrors in the longitudinal direction. We consider here cylindrical micropillars having a circular cross section, with parameters typical of recently studied single photon sources.³ The micropillars are composed of a wavelength-thick GaAs cavity spacer, surrounded by a 9-period (top) and 25-period (bottom) distributed Bragg reflectors, see the bottom inset in Fig. 1. In the following, the reflectors are assumed to be composed of GaAs and AlAs layers, with refractive indices 3.495 and 2.94 at $\lambda_0=0.95 \mu\text{m}$. Every layer of the reflector is assumed to have a quarter-wave optical thickness for any diameter d : For instance, all GaAs layers are $\lambda_0/(4n_1)$ thick, n_1 being the effective index of the fundamental guided mode HE_{11} of a GaAs cylinder of diameter d . The thickness of the cavity layer h is λ_0/n_1 . This particular design rule ensures that the fundamental resonance wavelength of the micropillar remains very close to λ_0 (relative deviation lower than 10^{-3}) over the entire range of diameters under study ($d > \lambda_0/n_1$).

We have analyzed the electromagnetic properties of these micropillars by means of a frequency-domain Fourier-modal method.⁸ The method is rigorous; as one increases the number of Fourier harmonics retained for the computation, the calculated results tend toward the exact solution of Maxwell's equations. In brief, the method relies on a supercell technique which incorporates perfectly matched layers⁹ on the transversal side of the pillars. Since these layers absorb

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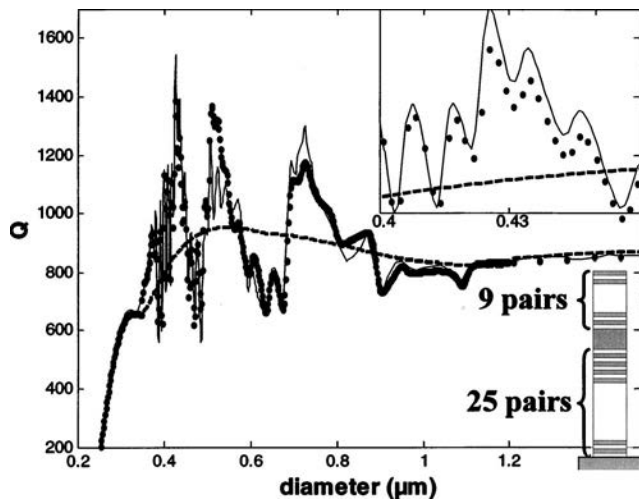


FIG. 1. Q factor for pillar microcavities as a function of d . Solid curves are exact numerical data obtained with the Fourier modal method. The dotted (resp. dashed) curves represent the predictions obtained using the approximate model, and the expansion of the cavity mode on one (resp. two) guided modes in the spacer, and Bloch modes in the mirror. The top inset is an enlarged view for $d \approx 0.43 \mu\text{m}$. Its vertical axis ranges from 730 to 1550. The bottom inset is a sketch of the pillar geometry.

the evanescent and propagative radiation, the electromagnetic fields and their derivatives are null on every transversal boundaries of the supercell. Thus, they are periodic functions of the transverse coordinates and can be extended into Fourier series (plane-wave basis). This allows one to calculate the radiation and guided modes in a Fourier basis for each layer and to use a scattering matrix (S-matrix) approach to relate recursively the mode amplitudes in the different layers.

The solid curve in Fig. 1 shows the cavity Q factors as a function of d . The Q s are computed as $Q = \text{Re}(\tilde{\lambda}) / 2 \text{Im}(\tilde{\lambda})$, where $\tilde{\lambda}$ is the complex pole of the scattering matrix. Three different regimes are successively observed for decreasing d s: For $d > 1.2 \mu\text{m}$ (which corresponds to $\approx 4\lambda/n_1$), the calculated Q s remain very similar to the planar cavity Q ($Q = 872$). When $1.2 < d < 0.35 \mu\text{m}$, the Q values surprisingly exhibit a complex oscillatory behavior. Finally, for $d < 0.35 \mu\text{m}$ (i.e., $\approx 1.3\lambda/n_1$), Q displays a fast decrease. In addition, it is noticeable that the oscillatory behavior presents a slow envelopelike variation and a fine structure, see the nearly sinusoidal pattern in the inset of Fig. 1. Since the effective cavity mode volume V scales smoothly with d , the nontrivial oscillatory behavior is expected to reflect on the dynamical properties of an emitter inserted in the cavity. Computational results (not reported here due to limitations in space) for the enhancement of the total spontaneous-emission rate have indeed confirmed this statement. The oscillatory behavior has also been observed for microcavities with a 15 period top mirror. The Q variation with d shows again three different regimes with the same critical values of d , the same fine sinusoidal structure; only the slow envelopelike variation is shown to exhibit a faster oscillation behavior.

In order to analyze the physical origin of the cavity properties for small d s, we have developed an approximate modal method. We incorporate two main simplifications to the previous exact method. First, since the confinement resulting from the recursive mode energy exchange at all the interfaces of the Bragg reflectors is rather difficult to concep-

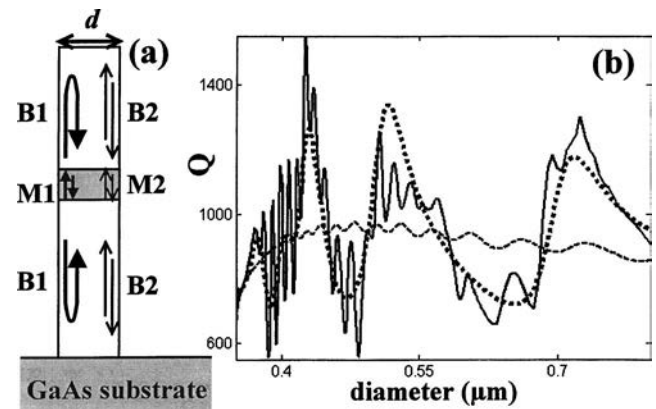


FIG. 2. (a) Schematic view of a pillar microcavity, and basis of spacer and mirror modes used to expand the cavity mode in the approximate method. (b) Role of B_2 in the oscillatory behavior of Q : The solid curve displays exact numerical data as in Fig. 1, whereas the dotted and dashed curves are obtained by removing the Bloch mode B_2 from the decomposition basis for the top and bottom mirrors, respectively.

tualize, we introduce the Bloch modes of this periodic medium. Within this approach, the pillar geometry is simplified and is viewed as composed of three uniform sections, a GaAs spacer of height h and two surrounding artificial uniform sections of heights 9 and 25 periods, see Fig. 2(a). Provided that the full continuum of Bloch modes is considered in the analysis, this first simplification does not introduce approximations since Maxwell's equations are still solved exactly. As we shall see, only two Bloch modes need to be considered to accurately predict the cavity Q s. Second, we neglect radiation modes and only consider the guided modes for the modal expansion of the electromagnetic field inside the spacer. Finally, we calculate the coupling coefficient between modes at the spacer/mirror interfaces,¹⁰ and use a S-matrix formalism to estimate the resonant energy and Q of the fundamental cavity mode.

The Bloch modes are computed as the eigenstates of the scattering matrix associated with one period of the Bragg mirrors. The computations are performed by expanding the transverse fields in a plane-wave basis.⁸ For symmetry reasons, the Bloch modes can be labeled in a way similar to the guided modes of a dielectric waveguide.¹¹ In the large diameter limit, the transverse dependence of the field is the same for the guided mode in the spacer and the corresponding Bloch mode of the Bragg mirror; coupling at the spacer/mirror interface occurs only between modes with identical labelling. As a result, the fundamental cavity mode can be built using the fundamental guided mode HE_{11} in the spacer (hereafter labeled M_1 for simplicity) and the HE_{11} Bloch mode in the mirror (B_1). However, when the diameter is reduced, the overlap between these modes at the interface is no more perfect, so that they couple also with higher-order modes having the same symmetry. As shown now, the onset of these higher-order components in the expression of the fundamental cavity mode entails the complex dependence of Q versus d .

The dashed curve in Fig. 1(a) represents the Q factor calculated with the approximate modal method, when only HE_{11} modes are considered. B_1 is a nonpropagating and nonleaky mode at the resonant wavelength λ_0 for all pillar diameters. Therefore, this situation corresponds to a standard

Fabry–Perot model, relying on a cavity mode formed by the recirculation of the guided mode M_1 of the spacer by the Bloch mode B_1 of the top and bottom mirrors. The comparison to the exact numerical simulation shows that this model is accurate in the large diameter limit, as well as for $d < 0.35 \mu\text{m}$. In the latter case, all higher-order modes having the same symmetry as HE_{11} are leaky modes. The fast drop of Q for decreasing ds in this diameter range is due to the increasing impedance mismatch between M_1 and B_1 ¹² and the associated radiation in free space. Note also that the approximate model predicts a slight increase of Q for ds going from 1.2 to 0.6 μm ; this effect is due to the fact that the effective index contrast in the GaAs/AlAs Bragg reflector increases as a result for the lateral confinement.

In order to predict the oscillatory behavior for Q , it is however necessary to go beyond the Fabry–Perot model. For that purpose, we include now in the model the first higher-order modes having the same symmetry as HE_{11} , i.e., the EH_{11} guided mode of the spacer (M_2) and the EH_{11} Bloch mode of the mirror (B_2). The corresponding Q values are represented by the dots in Fig. 1. It is clear that this simple two-mode model reproduces fairly well the results of the exact numerical calculation. The physical origin of the oscillatory behavior of Q can thus be understood by considering the properties of the Bloch mode B_2 . For $d > 1.2 \mu\text{m}$, B_2 is—as B_1 —a guided and nonpropagating Bloch mode of the mirror at the wavelength λ_0 . As a result of the lateral confinement, the effective indices of the guided modes in the GaAs and AlAs layers, as well as the stop band of the mirrors, depend on d . Whereas the stopband for HE_{11} remains—by construction—centered on λ_0 , it is shifted toward shorter wavelengths for EH_{11} . For $0.35 \mu\text{m} < d < 1.2 \mu\text{m}$, λ_0 is no more within the stop band for EH_{11} , and B_2 becomes a guided and *propagating* Bloch mode.

This peculiar property of B_2 leads to an oscillatory behavior for several important microcavity parameters. Let us focus first on the crossed modal reflectivity R_{12} , which describes the backward scattering into M_2 for the incident mode M_1 , when propagating upward in the spacer and impinging onto the top mirror. This backscattering results from the combination of three different mechanisms: (1) Excitation of the evanescent B_1 mode, which is reflected back by the distributed Bragg reflector and scatters into the downward propagating mode M_2 at the mirror–spacer interface, (2) excitation of mode B_1 , which is scattered into B_2 at the top interface between the mirror and air, B_2 propagates freely through the top mirror and couples efficiently into M_2 , and (3) direct excitation of mode B_2 , which is reflected by the top interface and couples to M_2 after propagation through the mirror. The propagation of B_2 through the mirror induces delays (which depend on d) between these various contributions, and a beating effect on R_{12} . Similar considerations apply obviously for the reflection on the bottom mirror; since it is much thicker than the top one, faster oscillations are expected in that case. Due to these fluctuations of the cross modal reflectivities on the top and bottom mirrors, the hybrid character of the cavity mode as well as Q are expected to oscillate as a function of d .

To support this analysis, we consider two study cases. In the first case, we restrict our mode basis to B_1 and B_2 in the bottom mirror, M_1 and M_2 in the spacer, and only mode B_1 in the top mirror, in order to neglect the coupling to B_2 in the top mirror. The calculated Q s are shown as the dashed curve in Fig. 2(b). They well reproduce the fine sinusoidal structure, but do not reflect the slow envelopelike variation. In the second study case, the coupling to B_2 is neglected for the bottom mirror only. This time, the calculated Q s [dotted curve in Fig. 2(b)] well reproduce the slow envelopelike character, but not the fine sinusoidal structure. This analysis evidences the key role played by the *propagating* Bloch modes into the electromagnetic properties of micropillars with small ds : The slow envelopelike variation of Q is primarily due to the propagation of B_2 through the short top mirror, while the fine oscillations are associated to the lengthy bottom mirror. For $d \gg \lambda_0/n$, the oscillation vanishes. Not only B_2 becomes nonpropagative, but also the hybrid character of the cavity mode disappears in this “large diameter” limit, as mentioned previously.

To conclude, the quality factor Q of submicron diameter GaAs/AlAs pillar microcavities displays a strong and fast dependence as a function of the pillar diameter. This effect is related to the hybrid nature of their fundamental mode, resulting from the coupling of HE_{11} and EH_{11} modes by reflection at the spacer/mirror interfaces, and to the propagative nature of the EH_{11} Bloch modes of the Bragg mirrors. These effects must be taken into account when designing micropillar for advanced optoelectronic applications, such as single photon sources. On a practical side, our results also show that approximate models based on the expansion of the resonant cavity mode on a small number (here 2) of guided modes (in the spacer) and Bloch modes (in the mirror) provide a good insight on the electromagnetic properties of small diameter micropillars.

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